

-----	)	SUPERIOR COURT OF NEW JERSEY
Joan M. Rocap	)	
	)	LAW DIVISION: ATLANTIC COUNTY
Plaintiff,	)	
vs.	)	DOCKET NO.:
	)	
HOWMEDICA OSTEONICS CORPORATION,	)	
a New Jersey corporation, d/b/a	)	
STRYKER ORTHOPAEDICS,	)	
	)	
Defendant.	)	
_____	)	

**COMPLAINT**

COMES NOW, Plaintiff, Joan M. Rocap, by and through the undersigned counsel, and brings this complaint against Defendant, Howmedica Osteonics Corporation, and alleges as follows:

1. This is an action for damages relating to Defendant’s development, testing, assembling, manufacture, packaging, labeling, preparing, distribution, marketing, supplying, and/or selling the defective product sold under the name “The Trident® Acetabular System” (hereinafter “Trident System” or “Defective Device”).

**PARTIES, JURISDICTION AND VENUE**

2. Plaintiff, Joan M. Rocap, is a citizen and resident of Indianapolis, Indiana.

3. Venue in this action properly lies in Atlantic County as the Defendant conducts substantial business in this county and the case has been coordinated in Atlantic County by the Administrative Office of the Courts.

4. Defendant, Howmedica Osteonics Corporation (hereinafter “HOWMEDICA”), d/b/a STRYKER ORTHOPAEDICS is a corporation organized and existing under the laws of New Jersey having its principal place of business located at 325 Corporate Drive, Mahwah, NJ

07430 and conducts business throughout the United States including in the States of New Jersey and Indiana.

### **THE PRODUCT**

5. At all times material hereto, Defendant Stryker/Howmedica (hereinafter referred to collectively as “Defendant”) developed, tested, assembled, manufactured, packaged, labeled, prepared, distributed, marketed, supplied, and/or sold the defective product sold under the name “The Trident<sup>®</sup> Acetabular System” (hereinafter “Trident System” or “Defective Device”), either directly or indirectly, to members of the general public including within both the State of New Jersey and Indiana.

6. On February 3, 2003, Defendant received FDA approval to sell its Trident System in the United States.

7. The Trident System containing a ceramic-on-ceramic acetabular bearing couple is indicated for patients requiring primary total hip arthroplasty or replacement due to painful disabling joint disease of the hip resulting from non-inflammatory degenerative arthritis.

8. The Trident System is an artificial hip replacement device consisting of four basic components: an alumina ceramic insert (socket liner), an alumina ceramic femoral head (ball), a metal acetabular shell (socket), and a metal femoral stem (hip stem). The metal acetabular shell that is the subject of the recall referenced herein below was and is also available for use with the polyethylene insert (socket liner) and whether coupled with a ceramic or polyethylene liner, is included within the meaning of the “Trident System” that is the subject of this complaint.

9. The alumina ceramic insert contains a pre-assembled titanium alloy sleeve on the back of the insert which mates with the metal acetabular shell component via a taper locking mechanism; and the bearing couple consists of a “Howmedica Osteonics Alumina C-Taper

Head” and a “Howmedica Osteonics Alumina Insert”. The Trident System implant has bearing surfaces (the ball and socket) made of alumina ceramic.

10. Since its initial approval, the Trident System has been modified through 510(k) submissions made by Defendant including but not limited to: May 25, 2004 – increasing wall thickness in the Trident “T” Acetabular Shells; March 14, 2006 – manufacturing process changes for the ceramic femoral heads and inserts; and July 7, 2006 – two geometrical modifications to the Trident Constrained Acetabular Insert.

11. Defendant’s Defective Device(s) were placed into the stream of interstate commerce and were implanted in Plaintiff on or about August 5, 2003.

12. At all times material hereto, the acetabular hip implant devices used in Plaintiff’s surgery were designed, manufactured, marketed, retailed, distributed, and/or supplied by Defendant.

13. As a result of the implantation of the Defective Device, Plaintiff underwent a revision surgery to remove the Defective Device on or about January 13, 2010.

14. As a direct and proximate result of Defendant placing the Defective Product into the stream of commerce, Plaintiff has suffered and continues to suffer both injuries and damages, including but not limited to: past, present and future physical and mental pain and suffering as described herein below; and past, present and future medical, hospital, rehabilitative and pharmaceutical expenses, and other related damages.

**THE EXISTENCE OF RISK FOR ASEPTIC LOOSENING OF THE TRIDENT CUP  
DUE TO LACK OF BONE INGROWTH**

15. In advertising the benefits of the Stryker Trident System to orthopedic surgeons, Defendant placed a document on its website which appeared to be a medical study regarding the benefits of the Trident System authored by several of its long-standing paid consultants, Drs.

William Capello, M.D.; James D'Antonio, M.D.; and Michael Manley, Ph.D., to re-draft and publish an article entitled New Experience with Alumina: Alumina Ceramic Bearings for Total Hip Arthroplasty.

[http://www.stryker.com/stellent/groups/public/documents/web\\_prod/025313.pdf](http://www.stryker.com/stellent/groups/public/documents/web_prod/025313.pdf)

16. In it, Defendant states the following benefits of the Trident System in relation to the risk of loosening and the protections against loosening afforded patients by selecting the Trident System. Specifically, Defendant states:

The most common mode of long-term failure for total hip arthroplasty is aseptic loosening. It has been recognized that particulate debris, in particular polyethylene particulate debris, is responsible in many cases for the inflammatory response that leads to bone resorption and loosening of the implants over time. The actual biologic event is caused by macrophage induced resorption of bone at the prosthetic bone interface secondary to the presence of particulate polyethylene and other debris. If the life expectancy of implants is to be improved, then better bearing surface materials will be necessary for the future. An alumina-on-alumina ceramic bearing couple has many theoretical advantages. It not only eliminates polyethylene from the device system but its extremely low coefficient of friction and potential for superior wear resistance is very attractive. Clinical experiences and retrievals of ceramic implants have indicated that ceramic debris is less reactive than metal or polyethylene debris.

17. Defendant's label represents that Defendant's "clinical study" of the Stryker Trident at two years, between 1999 and 2001, revealed no incidence of loose cups among 209 Trident implants.

18. Defendant's label further supported the notion that the Stryker Trident was a more safe system with less risk of loosening, stating:

"Alumina ceramic is extremely hard--in fact, its hardness is second only to diamond--and provides excellent lubrication between the ball and socket"; and ". . . it is anticipated that these improved wear characteristics will extend the life of the implants."

19. Moreover, Defendant's paid consultant, J. Wesley Mesko reported in 2003 that, at 3 to 5 years, following up on 188 of the original 209 hips, the Trident System was revised due to

loosening only one time equaling a rate of 0.5% revision rate. *See* Mesko JW, All Alumina Articulation in the Stryker Howmedica-Osteonics THA. *A United States Experience 36-60 Months Follow-up*, Proceedings of the 8th Biolox Symposium (Presentation 5.3), March 2003. Berlin.

20. The FDA MAUDE Data base is replete with evidence of adverse events in the form of loose cups associated with the Stryker Trident System and the events and issues of underreporting merely had to catch up with the growing problematic clinical experience in the field in which many more patients were forced to endure a loosened cup post implant and the attendant pain and revision surgery than is typical for cementless cup implants.

21. As revealed by the FDA's November 28, 2007 483 Warning Letter issued to Defendant, Defendant reported to the FDA on August 1, 2007, that it had received increased product complaints/product experience reports (PER's) for acetabular shell loosening; however, the FDA found that Defendant initiated no effective corrective or preventive actions in order to prevent the recurrence of nonconforming product and other quality problems in violation of federal regulations as detailed below.

22. On January 21, 2008, Defendant finally commenced a recall of the Defective Devices, the reason for which, as stated on the FDA website was due to "Foreign material: Some of the parts tested exceeded Stryker Orthopaedics internal acceptance criteria for manufacturing residuals." <http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfRes/res.cfm?ID=68363>

23. A review of medical literature regarding the mechanism for and duration of time relating to aseptic loosening due to osteolysis reveals that Plaintiff's product did not become loose due to any cause other than having had an adulterated device with foreign or other body material on the cup which caused a lack of boney in-growth and resultant loosening.

24. Specifically, modern non-cemented cups typically have a 5 year or greater survivorship of approximately 97% (+/- 2%), most failing with aseptic loosening, with a failure rate of about 3-5% beginning at about 5 years.

25. Absent some other cause, aseptic loosening during the first 3 years post implant is extremely rare. *See* The results of titanium - coated RM acetabular component at 20 years. Ihle M et al., *J Bone Joint Surg-Br* 2008; 90-B: 1284-90; Increased risk for revision of acetabular cups coated with hydroxyapatite: A register study on 8,043 hips. Hailer N, Kärrholm J, Lazarinis S., 10<sup>th</sup> Congress of EFORT, June 5, 2009; Factors affecting aseptic loosening of 4750 total hip arthroplasties: multivariate survival analysis Bordini B, Stea S, De Clerico M, Strazzari S, Sasdelli A, Toni A *BMC Musculoskeletal Disorders* 2007, 8:69 (24 July 2007); and Third-Generation Alumina-on-Alumina Ceramic Bearings in Cementless Total Hip Arthroplasty. *J Bone Joint Surg (American)*. 2007;89-A:2676-2683 (though a shorter time observation of 7 years, ceramics should become loose seldom because of low wear rate).

26. Defendant made errors in its manufacture of Defendant's Trident Acetabular System and, as a result, the Plaintiff's Defective Device was adulterated within the meaning of 21 U.S.C. § 351(h) in that the methods used in, or the facilities or controls used for, its manufacture was not in conformity with the Current Good Manufacturing Practice (CGMP) requirements of the Quality System (QS) regulation found at Title 21, Code of Federal Relations (C.F.R.), Part 820.

27. Defendant had a duty to update its label through the CBE process to adequately and properly warn consumers including Plaintiff of the risk of suffering from a loose cup beyond vague reference to the potentiality for such complication based on information Defendant had in

its possession and/or was obligated to investigate which revealed or would have revealed an increased risk and prevalence rate for loosening associated with its cups.

28. Plaintiff's Defective Device was thereby misbranded as the label was false or misleading in any particular manner, or if it is dangerous to health when used in the manner prescribed, recommended, or suggested in the labeling thereof. *See* 21 U.S.C. § 352.

### **THE RECALLS**

29. On March 13, 2006, Defendant initiated a recall of a batch of Trident PSL HA Solid Back Acetabular Shells, formally known under the U.S. Food and Drug Administration Recall #: Z-1261-2007. The stated reason for this recall was that Defendant had identified dimensional anomalies in the recalled components. Specifically, their anomalies were due to an alleged discovery of a machine operator's failure to inspect product dimensional features prior to release wherein shells were found to be out of tolerance. However, this recall was initiated only after the FDA performed an inspection; it was not simply initiated as a preventative unilateral act by Defendant.

30. On August 30, 2007, Defendant initiated a recall of a batch of Trident PSL HA Solid Back Acetabular Shells, which recall is formally known under the U.S. Food and Drug Administration Recall #: Z-0073-2008. The stated reason for such recall was that Defendant had identified that "specific lots of Trident PSL Acetabular shells may have a dimensional discrepancy. The deviation regarding the difference in wall thickness will increase the gap between the shell and liner on one side and will decrease the gap between shell and liner on the opposing side, resulting in interference."

31. On January 21, 2008, Defendant initiated a recall of a batch of Trident PSL and Hemispherical Cups manufactured in their Cork, Ireland facilities. Recall notification letters

were sent to Stryker Branches/agencies, OR Supervisors and Chief of Orthopaedics were sent on this date, however, a Patient information sheet was not sent out until February 4, 2008 to surgeons and hospitals. The scope of the recall includes Trident PSL and Hemispherical Shells manufactured by Defendant at the Cork, Ireland facility from January 2000 through the end of December 2007. The recall came after an investigation into an identified deviation between specifications and processes for manufacturing required by the FDA, including the existence of “manufacturing residuals” within Defendant defective Trident devices.

32. On February 28, 2008, Defendant broadened the previous recalls to include all Trident PSL and Hemispherical Cups and expanded the manufacturing dates from 1998 through the end of December 2007.

33. As to each of the devices within the scope of the underlying recalls, it was determined by the FDA that the removal of the products from the market and the corrective action taken by Defendant should be classified as Class II Recalls under federal regulation.

34. However, upon information and belief, Defendant has failed to properly initiate a recall including Defendant’s Defective Devices beyond the limited scope of the above referenced recalls.

### **THE FEDERAL REQUIREMENTS**

35. Federal regulation states “Recall means a firm’s removal or correction of a marketed product that the Food and Drug Administration considers to be in violation of the laws it administers and against which the agency would initiate legal action, e.g. seizure.” *See* 21 CFR § 7.3(g).

36. Federal regulation states: “Recall classification means the numerical designation, i.e., I, II or III, assigned by the Food and Drug Administration to a particular product recall to indicate the relative degree of health hazard presented by the product being recalled.” *See* 21 CFR § 7.3 (m).

37. Federal regulation states: “Class II is a situation in which use of, or exposure to, a violative product may cause temporary or medically reversible adverse health consequences or where the probability of serious adverse health consequences is remote.” *See* 21 CFR § 7.3 (m).

38. The classification of the product withdrawals and corrections of the Defendant’s devices (described above) as Class II Recalls by the FDA confirms by definition that the devices were in violation of federal law and that initiation of legal action or seizure would be indicated for these devices.

39. “Recall is an effective method of removing or correcting consumer products that are in violation of laws administered by the Food and Drug Administration. Recall is a voluntary action that takes place because manufacturers and distributors carry out their responsibility to protect the public health and well-being from products that present a risk of injury or gross deception or are otherwise defective. These sections also recognize that recall is an alternative to a Food and Drug Administration-initiated court action for removing or correcting violative, distributed products by setting forth specific recall procedures for the Food and Drug Administration to monitor recalls and assess the adequacy of a firm's efforts in recall.” 21 CFR § 7.40(a).

40. A company’s voluntary recall of a medical device and the FDA’s classification of that action as a recall establishes that the device violates FDA regulations: “A firm that does so because it believes the product to be violative is requested to notify immediately the appropriate

Food and Drug Administration district office listed in §5.115 of this chapter. Such removal or correction will be considered a recall only if the Food and Drug Administration regards the product as involving a violation that is subject to legal action, e.g., seizure.” 21 CFR § 7.46.

41. As to all components recalled by Defendant, Defendant acknowledged that said Stryker Trident implants were manufactured in a manner violative of the FDA regulations.

42. The violation of the federal regulations including making an adulterated device specifically led to Plaintiff’s injuries and damages.

43. Pursuant to federal law, a device is deemed to be adulterated if, among other things, it fails to meet established performance standards, or if the methods, facilities or controls used for its manufacture, packing, storage, or installation are not in conformity with federal requirements. *See* 21 U.S.C. § 351.

44. The definition of adulterated for devices like the Trident System includes: manufacture, packing, storage, or installation of device not in conformity with applicable requirements or conditions. If it is a device and the methods used in, or the facilities or controls used for, its manufacture, packing, storage, or installation are not in conformity with applicable requirements

45. Pursuant to federal law, a device is deemed to be misbranded if, among other things, its labeling is false or misleading in any particular manner, or if it is dangerous to health when used in the manner prescribed, recommended, or suggested in the labeling thereof. *See* 21 U.S.C. § 352.

46. Pursuant to federal law, manufacturers are required to comply with FDA regulation of medical devices, including FDA requirements for records and reports, in order to prohibit introduction of medical devices that are adulterated or misbranded, and to assure the

safety and effectiveness of medical devices. In particular, manufacturers must keep records and make reports if any medical device that may have caused or contributed to death or serious injury, or if the device has malfunctioned in a manner likely to cause or contribute to death or serious injury. Federal law also mandates that the FDA establish regulations requiring a manufacturer of a medical device to report promptly to FDA any correction or removal of a device undertaken to reduce a risk to health posed by the device, or to remedy a violation of federal law by which a device may present a risk to health. *See* 21 U.S.C. § 360(i).

47. Pursuant to FDA regulation, adverse events associated with a medical device must be reported to FDA within 30 days after the manufacturer becomes aware that a device may have caused or contributed to death or serious injury, or that a device has malfunctioned and would be likely to cause or contribute to death or serious injury if the malfunction was to recur. Such reports must contain all information reasonably known to the manufacturer, including any information that can be obtained by analysis, testing, or other evaluation of the device, and any information in the manufacturer's possession. In addition, manufacturers are responsible for conducting an investigation of each adverse event, and must evaluate the cause of the adverse event. *See* 21 CFR § 803.50.

48. Pursuant to federal regulation, manufacturers of medical devices must also describe in every individual adverse event report whether remedial action was taken in regard to the adverse event, and whether the remedial action was reported to FDA as a removal or correction of the device. *See* 21 CFR § 803.52.

49. Pursuant to federal regulation, manufacturers must report to FDA in 5 business days after becoming aware of any reportable MDR event or events, including a trend analysis

that necessitates remedial action to prevent an unreasonable risk of substantial harm to the public health. *See* 21 CFR §803.53.

50. Pursuant to federal regulation, device manufacturers must report promptly to FDA any device corrections and removals, and maintain records of device corrections and removals. FDA regulations require submission of a written report within ten working days of any correction or removal of a device initiated by the manufacturer to reduce a risk to health posed by the device, or to remedy a violation of the Act caused by the device, which may present a risk to health. The written submission must contain, among other things, a description of the event giving rise to the information reported and the corrective or removal actions taken, and any illness or injuries that have occurred with use of the device, including reference to any device report numbers. Manufacturers must also indicate the total number of devices manufactured or distributed which are subject to the correction or removal, and provide a copy of all communications regarding the correction or removal. *See* 21 CFR §806.

51. Pursuant to federal regulation, manufacturers must comply with specific quality system requirements promulgated by FDA. These regulations require manufacturers to meet design control requirements, including but not limited to conducting design validation to ensure that devices conform to defined user needs and intended uses. Manufacturers must also meet quality standards in manufacture and production. Manufacturers must establish and maintain procedures for implementing corrective actions and preventive actions, and investigate the cause of nonconforming products and take corrective action to prevent recurrence. Manufacturers are also required to review and evaluate all complaints and determine whether an investigation is necessary. Manufacturers are also required to use statistical techniques where necessary to evaluate product performance. *See* 21 CFR § 820.

52. Pursuant to federal regulation, a manufacturer must report to the FDA (through a Post-Market Approval (PMA) Supplement) any new indications for use of a device, labeling changes, or changes in the performance or design specifications, circuits, components, ingredients, principle of operation or physical layout of the device. A manufacturer may implement changes to a device that enhance the safety of the device prior to obtaining FDA approval, if the manufacturer submits a special report entitled: “Special PMA Supplement-Changes Being Effected” and provides a full explanation of any labeling changes or changes in quality control or manufacturing process that add a new specification of test method, or otherwise provide additional assurance of purity, strength, or reliability of the device.

53. Federal regulations require that: “A PMA supplement must be submitted when unanticipated adverse effects, increases in the incidence of anticipated adverse effects, or device failures necessitate a labeling, manufacturing, or device modification.”

54. As evidence of Defendant’s violations of federal regulations relating to the Trident System, on March 15, 2007 (well before Defendant initiated a recall on January 21, 2008 and later sent a Patient information sheet was not sent out until February 4, 2008 to surgeons and hospitals), the United States Food and Drug Administration (FDA) issued a Warning letter to Defendant arising from its inspections of Defendant’s Cork, Ireland facilities between October 31, 2006 and November 3, 2006. The FDA investigation revealed that Defendant Trident Acetabular System hip replacement systems were adulterated within the meaning of 21 U.S.C. § 351(h) in that the methods used in, or the facilities or controls used for, their manufacture, packing, storage, or installation were not in conformity with the Current Good Manufacturing Practice (CGMP) requirements of the Quality System (QS) regulation found at Title 21, Code of Federal Relations (C.F.R.), Part 820.

55. A Form FDA 483, List of Inspectional Observations, was issued to Defendant after these inspections, and the following violations were determined to have occurred:

- A. Failure to establish and maintain adequate procedures for implementing a corrective and preventative action, as required by 21 CFR 820.100(a);
- B. Failure to timely implement a specification to define the requirements for trending including nonconforming data on an ongoing basis;
- C. Failure to timely make changes to procedures to lessen confusion and better assure that root causes of non-conforming product are identified;
- D. Failure to document risk analysis and verification that was required;
- E. Failure to establish and maintain adequate procedures to control product that does not conform to specified requirements, including the evaluation of nonconforming product, as required by 21 CFR 820.90(a);
- F. Failure to perform root cause investigations and initiate corrective/preventative actions;
- G. Failure to timely conduct a full review of processes to ensure changed control requirements;
- H. Failure to ensure that all product non-conformities are identified and addressed in accordance with the Corrective and Preventative Action Procedure;
- I. Failure to establish and maintain adequate procedures to implement and record changes in methods and procedures needed to correct and prevent identified quality problems, as required by 21 CFR 820.100(a)(5);
- J. Failure to perform an adequate "Investigation/Analysis" to establish "root cause"; that a thorough "corrective/preventative action" (CAPA) be undertaken; and a full "Validations/Qualifications" be completed; and
- K. Failure to establish and maintain adequate procedures for rework, to include retesting and reevaluation of the nonconforming product after rework, to ensure that the product meets its current approved specifications, as required by 21 CFR 820.90(b)(2).

56. As evidence of Defendant's violations of federal regulations relating to the Trident System, on November 28, 2007 (well before Defendant initiated a recall on January 21, 2008 and later sent a Patient information sheet was not sent out until February 4, 2008 to

surgeons and hospitals), the FDA issued another Warning Letter to Defendant arising from inspections of Defendant's Mahwah, NJ facilities between June 1, 2007 through July 12, 2007. The FDA investigation revealed that Defendant hip implants with ceramic bearing components including the Trident Acetabular System were adulterated within the meaning of 21 U.S.C. § 351(h), in that the methods used in, or the facilities or controls used for, their manufacture, packing, storage, or installation were not in conformity with the Current Good Manufacturing Practice (CGMP) requirements of the Quality System (QS) regulation found at Title 21, Code of Federal Relations (C.F.R.), Part 820.

57. A Form FDA 483, List of Inspectional Observations was issued to Defendant after these inspections, and the following continued violations were determined to have occurred:

- A. Failure to establish and maintain procedures for identifying all of the actions needed to correct and prevent the recurrence of nonconforming product and other quality problems, and verifying or validating the corrective and preventive action to ensure that such action is effective as required by 21 CFR § 820.100(a)(3) & (a)(4);
- B. Failure to take proper action relating to “continual complaints from January of 2005 through May of 2007 concerning your Trident Hemispherical and Trident PSL cups that have failed to function and concerning hip implant components that have poor fixation. In some instances, these problems have required revision surgeries. In addition, complaints were also received between January of 2005 through April of 2007 for squeaking noises of hip implants with ceramic bearing components; some of those problems resulted in revision surgeries due to implant failures (fractures, pain, wear particles, and fragments). Furthermore, complaints were received between January of 2005 through June of 2007 concerning improper seating of hip implants in broached bones resulting in bone fractures”;
- C. Failure to implement adequate corrective and preventive actions (which would include verifying or validating the corrective and preventive action to ensure that such action is effective) in order to prevent the recurrence of nonconforming product and other quality problems;
- D. Failure to prevent the recurrence of poor fixation of the hip implant component or prevent the failure to function which has resulted in revision surgeries;
- E. Failure in properly correcting imprecise manufacturing processes relating to the acetabular reamers causing manufactured implants and reamers to have dimensional mismatches due to manufacturing problems (deviations);

- F. Failure to evaluate the causes of breakage, stresses in parts, and loss of function requiring revision surgery relating to customer complaints regarding squeaking/noisy ceramic on ceramic hip joints;
- G. Failure to implement effective corrective or preventive actions in order to prevent the recurrence of nonconforming product and other quality problems such as Complaint 64304, dated December 13, 2006, involving a patient who felt grinding crunching and screeching in the right leg and Complaint 71000, dated March 20, 2007, reporting a patient who went to the hospital because they felt that something was wrong and x-rays showed a broken ceramic (fractured or fragmented or cracked);
- H. Failure to have a proper design validation in support of changes to show that shell fixation issues are not the result of a dimensional or tolerance mismatch;
- I. Failure to prevent the recurrence of nonconforming product and other quality issues such as continued complaints concerning the Trident Hemispherical and Trident PSL cups that failed to function and hip implant components with poor fixation which have required revision surgeries;
- J. Failure to control and take action on devices distributed, and those not yet distributed, that are suspected of having potential nonconformities;
- K. Failure to prevent the recurrence of squeaking noises of hip implants with ceramic bearing components which have resulted in revision surgeries due to implant failures (fractures, pain, wear particles, and fragments);
- L. Failure to take effective action regarding trend analysis, which shows an increase in product complaints/product experience reports (PERs);
- M. Failure to provide validation of any corrective and preventive action in order to ensure that any such action is effective and does not adversely affect the finished device;
- N. Failure to properly test the performance of the devices under actual conditions of use in the actual environment in which the device is expected to be used;
- O. Failure to verify and validate corrective and preventive action relating to continued complaints that have resulted in revision surgeries;
- P. Failure to establish and maintain procedures to adequately control environmental conditions that could reasonably be expected to have an adverse effect on product quality as required by 21 CFR § 820.70(c). Specifically, procedure 90S1512 (version 35) Microbial and Environmental monitoring, states that when mold and bacteria action limits are exceeded, an investigation and corrective actions will be performed. No corrective actions were performed by your firm in order to prevent the recurrence of out-of-specification microbiological results received from your purified water and air monitoring samples for the implant final cleaning and packaging areas that are used for your sterile implantable devices;
- Q. Failure in misbranding the Trident PSL Acetabular Shell devices under section 502(t)(2) of the Act, 21 U.S.C. 352(t)(2), in that your firm failed or refused to furnish material or information respecting the device that is required by or under section 519 of the Act, 21 U.S.C. 360i, and 21 C.F.R Part 806 - Reports of Corrections and Removals regulation. Significant deviations include, but are not limited to, the following: Failure to report product

correction or removal actions to FDA within 10 days of initiating the correction or removal is a violation of 21 C.F.R. § 806.10(b);

- R. Failure to have an adequate system in place to identify when a correction or removal needs to be reported to the FDA as required under 21 C.F.R. § 806; and
- S. Failure to control and take action on devices distributed that are suspected of having potential nonconformities such as those referenced in Regulatory Summary (RA # 2006-007).

58. Defendant has failed to develop practices and procedures to assure their compliance with federal law, including compliance with the Medical Device Reporting procedures set forth in 21 C.F.R. §803, the failure analysis and quality assurance procedures set forth in 21 C.F.R. § 820, and the recall and notification procedures set forth in 21 C.F.R. § 806.

59. Upon information and belief, and based on the FDA 483 Warning Letter along with the Defendant's recall which encompass Plaintiff's Defective Device, Plaintiff believes that Defendant violated these specific federal regulations which, if not violated, would have resulted in the Defective Device not being implanted in Plaintiff's body.

60. Defendant failed to develop practices and procedures to assure compliance with 21 C.F.R. §814 concerning device modifications, instructions for use and pre-market approval condition. Defendant has also failed to comply with 21 C.F.R. §§ 803, 806 and 820, concerning maintaining MDRs, implementing device Removals and Corrections, and establishing Quality Systems.

61. Defendant failed to develop practices and procedures to assure compliance with the federal requirements for reporting adverse events, or MDRs, in accordance with 21 U.S.C. § 360.

62. Defendant failed to update its label to adequately warn consumers of risks associated with its device and could have, through a Special PMA Supplement, made such

changes without prior FDA approval. Such labeling changes would have deleted false, misleading, or unsupported indications as allowed under 21 Code Fed. Regs. § 814.39(d)(2).

63. Despite the obligations described above, and the obligations of every medical device manufacturer to comply with federal law, Defendant failed to meet numerous federal requirements in its manufacture and sale of the Trident System.

64. Specifically, it is believed further that with respect to the Trident System, Defendant failed to timely report adverse events, failed to timely conduct failure investigations and analysis, failed to timely report any and all information concerning product failures and corrections, failed to timely and fully inform FDA of unanticipated adverse effects, increases in the incidence of adverse effects, or device failures necessitating a labeling, manufacturing, or device modification, failed to conduct necessary design validation, and sold a misbranded and adulterated product.

65. Defendant was negligent and is liable to Plaintiff for the acetabular hip implant device implanted into Plaintiff because the manufacturing processes for the subject defective Trident hip devices and certain of their components did not satisfy the Food and Drug Administration's Pre-Market Approval standards and applicable federal regulations for the device; the failure of the manufacturing processes for the acetabular hip implant device and certain of their components to satisfy the Food and Drug Administration's Pre-Market Approval standards and applicable federal regulations for the device resulted in unreasonably dangerous defects, and the Defendant failed to warn of the unreasonable risks created by these manufacturing defects as required by the federal regulations.

66. As a direct and proximate cause of the Defendant's violations of the Medical Device Act, the Premarketing Approval Application and applicable federal requirements, Plaintiff suffered injuries and damages.

67. Plaintiff brings this cause, premised solely upon Defendant's violations of federal regulations and law, under the common law and statutory laws of New Jersey and Indiana, as applicable, which provide damage remedies for such claims and, wherein such state laws do not impose any requirements upon Defendant which are different from, or in addition to the requirements imposed upon by federal law, the same are parallel to such federal requirements and accordingly this action is not subject to preemption under § 360k. The causes of action set forth in this complaint are not preempted by § 360k, because the violations alleged are all based on an exclusively federal statutory and regulatory standard of care which includes no "requirement which is different from, or in addition to, any requirement applicable under" the Food, Drug and Cosmetic Act and regulations promulgated thereunder. As such, the claims set forth in this cause of action contain requirements that are parallel to the Food, Drug and Cosmetic Act and regulations promulgated thereunder.

#### CAUSES OF ACTION

#### **COUNT I - STRICT LIABILITY PURSUANT TO NEW JERSEY PRODUCTS LIABILITY ACT (N.J.S.A. 2A:58C-1, *et seq.*) & INDIANA PRODUCT LIABILITY ACT (IND. CODE Ann. § 34-20-1-1, *et seq.*)**

68. Plaintiff realleges and incorporates by reference the allegations set forth above.

69. Defendant is a manufacturer and/or seller of the Trident System within the meaning of N.J.S.A. 2A:58C-1 and the law of the Indiana, if deemed applicable.

70. Defendant failed to act as required by law as evidenced by its violations of federal requirements as set forth herein above and otherwise failed to undertake necessary and

reasonable steps including a failure to perform adequate testing in that adequate testing would have shown that the Trident System possessed serious potential side effects that rendered the product unfit for its intended use and unreasonably dangerous.

71. The Trident System manufactured, supplied and/or sold by Defendant was defective in design, manufacture, formulation, and/or labeling in that when it left the hands of the manufacturer and/or sellers, the foreseeable risks exceeded the benefits.

72. Alternatively, the Trident System manufactured, supplied and/or sold by Defendant was defective in design, manufacture, formulation and/or labeling in that when it left the hands of the manufacturer and/or supplier/seller, it was in a defective condition and unreasonably dangerous, and was more dangerous than an ordinary consumer would expect and more dangerous than other alternative products.

73. The Trident System manufactured, supplied and/or sold by Defendant was defective in design and manufacturing in that there exists a safer alternative design and its failure to comply with federal regulations.

74. Defendant is liable due to the manufacturing defects of the Trident system in that the product deviated from the design specifications, formulae, or performance standards of the manufacturer or from otherwise identical units manufactured to the same manufacturing specifications or formulae.

75. The Trident system was not merchantable and reasonably suited to the use intended and its condition when sold is the proximate cause of the injury sustained by the plaintiff.

76. The Trident system manufactured and supplied by defendant was defective due to inadequate warnings, inadequate testing, and inadequate post-marketing warnings, inadequate

post-marketing instructions, because after Defendant knew or should have known of the risk of injury from the Trident system yet failed to provide adequate warnings to implanting physicians and users of the Trident system.

77. As a result of the defective condition of the Trident System as manufactured and/or supplied by Defendant, Plaintiff suffered compensable damages.

WHEREFORE, Plaintiff respectfully requests that she be granted relief against Defendant, as contained in the Prayer For Relief.

**COUNT II – BREACH OF EXPRESS WARRANTY**  
**(N.J.S.A. 12A:2-313 and IND. CODE § 26-1-2-313)**

78. Plaintiff realleges and incorporates by reference the allegations set forth above.

79. On February 3, 2003, the Center for Devices and Radiological Health (“CDRH”) completed its review of Howmedica’s Osteonics System and Trident System and granted PMA approval. Related to warranties, the PMA Approval Letter specifically provided: “The CDRH *does not evaluate information related to contractual liability warranties*, however you should be aware that any such warranty statements *must be truthful, accurate, and not misleading*, and must be *consistent with applicable Federal and State laws*” (emphasis added).

80. Through their public statements, their descriptions of the Trident System and their promises relating to the Trident System, Defendant expressly warranted among other things that the Trident System was efficacious and safe for its intended use; had an audible sound prevalence rate of 0.5%; would last longer than competing acetabular devices; and was more suitable for younger adults than other devices given its purported longevity.

81. These warranties came in the form of (i) publicly made written and verbal assurances of safety; (ii) press releases and dissemination via the media of uniform promotional information that was intended to create demand for the Trident System, but which contained

material misrepresentations and utterly failed to warn of the risks of the Trident System; (iii) verbal assurances made by Defendant's consumer relations personnel to the public about the safety of the Trident System and the downplaying of the risks associated with the Trident System; (iv) false and misleading written information supplied by Defendant.

82. Plaintiff further alleges that all of the aforementioned written materials are known to Defendant and in its possession, and it is Plaintiff's reasonable belief that these materials shall be produced by Defendant and be made of record once Plaintiff is afforded the opportunity to conduct discovery.

83. When Defendant made these express warranties, Defendant knew the purpose for which Trident System was to be used and warranted it to be in all respects safe and proper for such purpose.

84. Defendant drafted the documents and/or made the statements upon which these warranty claims are based, and in so doing, defined the terms of those warranties.

85. The Trident System does not conform to Defendant's representations in that it is not safe and produces serious side effects.

86. As such, the Trident System did not conform to Defendant's promises, descriptions or affirmations of fact and was not adequately packaged, labeled, promoted or fit for the ordinary purposes for which such devices are used.

87. Defendant therefore breached its warranties to Plaintiff in violation of N.J.S.A. 12A:2-313, or Indiana law on Express Warranty codifying the Uniform Commercial Code, by manufacturing, marketing and selling the Trident System to Plaintiff causing damages as well be established at trial.

WHEREFORE, Plaintiff respectfully requests that she be granted relief against Defendant, as contained in the Prayer For Relief.

**COUNT III**  
**NEGLIGENCE: VIOLATION OF INDIANA DUTIES OF CARE**

88. Plaintiff incorporates by reference each preceding and succeeding paragraphs as though set forth fully at length herein

89. Defendants, directly or indirectly marketed, designed, developed, manufactured, tested, produced, labeled, inspected, packaged, distributed, promoted, advertised, released, or sold the Trident System in the stream of commerce when they knew or in the exercise of ordinary care, should have known that the device posed a significant risk to the health, well-being and safety of the Plaintiff which risk was not known to Plaintiff, or Plaintiff's implanting surgeons.

90. The Trident System implanted in Plaintiff was manufactured in violation of the Federal Food, Drug and Cosmetic Act and regulations promulgated pursuant to it.

91. As a result of Defendant's violations of a purely federal statutory and regulatory standard of care, the Trident System implanted in Plaintiff suffered catastrophic failure.

92. It was the duty of the defendant to comply with the Federal Food, Drug and Cosmetic Act, and the regulations promulgated pursuant to it, yet notwithstanding this duty, Defendant violated the Act and regulations in one or more of the following ways:

- A. By introducing or delivering for introduction into interstate commerce a device that was adulterated in violation of 21 U.S.C. §§ 331, 351(h); 21 C.F.R. Part 820;
- B. By adulterating a device in interstate commerce in violation of 21 U.S.C. §§ 331, 351(h); 21 C.F.R. Part 820;
- C. By receiving in interstate commerce a device that was adulterated and delivering the device for pay or otherwise in violation of 21 U.S.C. §§ 331, 351(h); 21 C.F.R. Part 820;
- D. By manufacturing a device that was adulterated in violation of 21 U.S.C. §§ 331, 351(h); 21 C.F.R. Part 820;

- E. By providing a misbranded product by, among other things, having a false or misleading label in violation of 21 U.S.C. §352;
- F. By failing to establish and maintain adequate procedures to control product that does not conform to specified requirements, including the evaluation of nonconforming product, after such nonconforming product in violation of 21 CFR 820.90(a);
- G. By failing to establish and maintain adequate procedures for rework, to include retesting and reevaluation of the nonconforming product after rework, to ensure that the product meets its current approved specifications in violation of 21 CFR 820.90(b)(2);
- H. By manufacturing Plaintiff's Stryker Trident in deviation of the manufacturing specifications approved by the FDA in the Defendant's premarket approval application in violation of the Federal Food, Drug and Cosmetic Act; and/or
- I. By violating the federal regulations as outlined above in Paragraphs 23 through 53.

93. Defendant owed such duty to Plaintiff and breach said duty.

94. As a direct and legal result of the Defendant's negligence, Plaintiff has sustained serious and permanent injuries including, but not limited to the following: physical pain and suffering; mental anguish, past medical expenses, loss of future and past earnings, and any other relief available under the law.

95. The defective design existed before the product left the control of Defendants. The product did not undergo any substantial alteration before reaching Plaintiff.

96. Plaintiff and Plaintiff's implanting surgeon(s) were foreseeable users, who were not expected to know of the dangers and defects of the Trident System and who did not know of those dangers.

97. Defendants are strictly liable for the design defect, manufacturing defect, and failure to warn.

98. As a direct and proximate result, Plaintiff has suffered implantation with an adulterated and/or misbranded medical device.

99. The above described egregious misconduct constitutes the wanton and willful disregard for health and safety for which the common law mandates exemplary damages to punish Defendants and to deter Defendants from such conduct in the future.

**COUNT IV**  
**PUNITIVE DAMAGES UNDER THE PUNITIVE DAMAGES ACTS**  
**(N.J.S.A. 2A:15-5.9, et seq. and IND. CODE ANN. § 34-51-3-1, et seq.) and PRODUCT**  
**LIABILITY ACTS (N.J.S.A. 2A:58C-1, et seq.)**

100. Plaintiff incorporates by reference the paragraphs above, as though fully set forth herein.

101. At all times material hereto, the Defendant knew or should have known that The Trident System product was inherently more dangerous with respect to the risk of loosening and a shorter life span and need for additional surgeries than the alternative hip replacement systems on the market.

102. At all times material hereto, the Defendant attempted to misrepresent and did misrepresent facts concerning the safety of the subject product.

103. Defendant's misrepresentations included knowingly withholding material information from the medical community and the public, including the Plaintiff herein, concerning the safety and efficacy of the subject product.

104. At all times material hereto, the Defendant knew and recklessly disregarded the fact that the Trident System was subject to loosening in persons implanted with the device with far greater frequency than safer alternative hip replacement systems.

105. Notwithstanding the foregoing, the Defendant continued to aggressively market the subject product without disclosing the aforesaid side effects when there were safer alternative methods.

106. The Defendant knew of the subject product's defective and unreasonably dangerous nature, as set forth herein, but continued to design, develop, manufacture, market, distribute, and sell it so as to maximize sales and profits at the expense of the health and safety of the public, including the Plaintiff herein, in conscious and/or negligent disregard of the foreseeable harm.

107. The Defendant's intentional and/or reckless, fraudulent and malicious failure to disclose information deprived the Plaintiff and Plaintiff's surgeon(s) of necessary information to enable them to weigh the true risks of using the subject product against its benefits.

108. As a direct and proximate result of the Defendant's conscious and deliberate disregard for the rights and safety of consumers such as the Plaintiff, the Plaintiff suffered severe and permanent physical injuries as set forth above.

109. The aforesaid conduct of Defendant was committed with knowing, conscious, and deliberate disregard for the rights and safety of consumers, including the Plaintiff herein, thereby entitling the Plaintiff to punitive damages in an amount appropriate to punish the Defendant and deter it from similar conduct in the future.

110. Defendant's actions showed willful misconduct, malice, fraud, wantonness, oppression, or that the entire want of care raises the presumption of conscious indifference to the consequences.

111. Defendant knowingly withheld or misrepresented information required to be submitted under the FDA's regulations, which information was material and relative to the injuries suffered by the Plaintiff, as set forth in N.J.S. 2A:58c-5C.

112. Plaintiff alleges this cause of action for punitive damages, despite the holding of *McDarby v. Merck* given the pendency of an appeal before the Appellate Division on the

propriety of punitive damages in the Diet Drug Litigation, or in the event a choice of law analysis is conducted and Indiana law is determined to govern.

113. Defendant is also responsible for punitive damages due to its willful and wanton and reckless disregard for the safety of others by virtue of violation of the Punitive Damage laws of the Indiana jurisprudence.

WHEREFORE, Plaintiff demands judgment against Defendant for compensatory and punitive damages, together with interest, costs of suit, attorneys' fees, and all such other relief as the Court deems proper.

#### **PRAYER FOR RELIEF**

WHEREFORE, the Plaintiff prays for judgment against the Defendant as follows:

- a. Awarding compensatory damages resulting from Defendant's violation of the PLA and associated New Jersey common law and/or Indiana product liability and negligence law;
- b. Awarding compensatory damages resulting from Defendant's breach of warranty;
- c. Awarding actual damages to the Plaintiff incidental to Plaintiff's purchase and use of The Trident System in an amount to be determined at trial;
- d. Awarding punitive damages to the Plaintiff;
- e. Awarding pre-judgment and post-judgment interest to the Plaintiff;
- f. Awarding the costs and the expenses of this litigation to the Plaintiff;
- g. Awarding reasonable attorneys' fees and costs to the Plaintiff as provided by law; and
- h. Granting all such other relief as the Court deems necessary, just and proper.

#### **DEMAND FOR JURY TRIAL**

Demand is hereby made for a trial by jury.

**THE LEVENSTEN LAW FIRM**

Attorneys for Plaintiff

Dated: October \_\_, 2010

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Michael W. Johnston  
1420 Walnut Street, Ste. 801  
Philadelphia, PA 19102  
215-545-5600  
Fax 215-545-5156

OF COUNSEL:

Douglas C. Monsour

D. Douglas Grubbs

**THE MONSOUR LAW FIRM**

404 North Green Street

P.O. Box 4209

Longview, TX 75606

(903) 758-5757

(903) 230-5010 fax

Attorneys for Plaintiff

**CERTIFICATION PURSUANT TO RULE 4:5-1**

The undersigned attorney for Plaintiff certifies as follows:

1. The matter in controversy is not the subject of any other action pending in any Court or of a pending arbitration proceeding;
2. No other action or arbitration proceeding is contemplated; and
3. There are no known parties who may be liable to any party on the basis of the transaction or events which form the subject matter of this action that should be joined pursuant to R. 4:28.

I certify that the foregoing statements made by me are true to the best of my knowledge, information and belief. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.

**THE LEVENSTEN LAW FIRM**

Attorneys for Plaintiff

Dated: October \_\_, 2010

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Michael W. Johnston  
1420 Walnut Street, Ste. 801  
Philadelphia, PA 19102  
215-545-5600  
Fax 215-545-5156

**DESIGNATION OF TRIAL COUNSEL**

Pursuant to R. 4:25-4, Michael W. Johnston is hereby designated as trial counsel in this matter.